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Electromigration damage mechanics of lead-free solder joints under pulsed DC: A computational model

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ABSTRACT

A numerical method for studying migration of voids driven by pulse electric current and thermal gradient in 95.5Sn-4Ag-0.5Cu (SAC405) solder joints is developed. The theoretical model involves coupling electron wind force, chemical potential, joule heating and stress gradient driving mass diffusion processes. Entropy based damage criteria is adopted to characterize the mass transportation mechanism, which utilize irreversible entropy production rate as a measure of material degradation. The pulse current induced EM damage results were compared with DC EM response under otherwise the same conditions. It is observed that increasing duty factor, current density and frequency leads to a faster damage accumulation. A mean time to failure (MTF) equation for solder joints under pulse current loadings is proposed which incorporates both thermomigration (TM) and EM damage. The failure mechanism is verified by our experimental results. It is observed that MTF is inversely proportional to r^m , f^p , and j^n , where duty factor exponent m equals to 1.1, frequency exponent p equals to 1.43 and current density exponent is 1.96. This equation is effective only when pulse period is below thermal relaxation time, commonly in µs range.

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1. Introduction 41

42 Electromigration (EM) is a mass transportation mechanism driven by electron wind force, thermal gradient, chemical potential 43 and stress gradient. According to Moore's law, number of transis-44 tors on integrated circuits (ICs) doubles approximately every 45 46 2 years. Moore's law holds true since its introduction in 1970s. This insatiable demand for smaller ICs size, larger integration and high-47 48 er Input/Output (IO) count of microelectronics has made ball grid 49 array (BGA) the most promising connection type in electronic 50 packaging industry. This trend, however, renders EM reliability of 51 solders joints a major bottleneck to hinder further development 52 of electronics industry. Although EM reliability of metal intercon-53 nects under direct current (DC) load has drawn increasing attention in recent years, our current understanding of degradation 54 physics of solder alloys under time varying high current density 55 loading is still quite limited. As a result of broad pulse signals 56 application in IC semiconductors, investigation of pulsed direct 57 current (PDC) EM reliability of flip-chip solder joints and corre-58 59 sponding morphology evolution and failure mechanisms have become an essential topic of study in the electronic packaging 60 reliability field [1-6]. 61

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Two types of failure mechanisms are related to the EM process: 62 short-circuit failure induced by anode side mass extrusion and 63 open-circuit induced by void coalescence at cathode side. Under DC loadings, mass transport from cathode side toward the anode side due to scattering of conduction band electrons with ions at grain boundaries, which is referred as electron wind force. During this diffusion process, when steady state is reached, chemical potential and stress gradient driving force retard the mass diffusion by electron wind force [7–9]. For the pulse DC (PDC) loading, the same holds true during on-portion of the pulse. During the load-71 off period, however, only chemical potential and stress gradient come into play. This back diffusion in absence of an electric field has an opposite effect on electron wind force, and heals part of the damage induced during load-on time, thus interconnects under PDC is expected to have a longer lifetime comparing to those subjected to DC loading under otherwise identical conditions. This damage healing mechanism under PDC loading strongly depends on pulse frequency, current density and duty factor defined as load-on time over one loading period. Some researchers studied 80 pulse DC and AC electromigration in deposited Al or Cu thin films, 81 both experimentally and theoretically [10–19]. It was found that 82 lifetime of conductors under time varving current is times longer 83 than those subjected to DC current under otherwise the same 84 conditions. There is, however, very limited research on EM of 85 solder alloys under PDC loadings. This study is intended to fill in 86 the gap of EM behavior of solder alloys subjected to PDC loading,

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W. Yao, C. Basaran/Computational Materials Science xxx (2013) xxx-xxx

88 by considering both EM and thermomigration (TM) induced dam-89 age. In this work, we employ the pulsed current loadings with var-90 ious duty factors and frequencies on 95.5Sn-4Ag-0.5Cu (SAC405) 91 solder joints. Results of vacancy concentration, volumetric stress 92 and damage evolution with respect to duty factors and frequencies 93 are plotted and compared with those under DC current stressing. 94 For solder joints, Basaran et al. [20-24], proposed a comprehensive 95 mean time to failure (MTF) equation for solder joints. In this study, we extend this model for SAC solder joints subjected to PDC load-96 97 ings by incorporating current density, duty factor and frequency 98 dependence.

99 2. Governing equations

Basaran group proposed damage mechanics formulation for solder joints subjected to electrical and thermal loadings by coupling vacancy conservation, force equilibrium, heat transfer and electric conduction processes as shown below [25]:

104 2.1. Vacancy conservation equation

Electromigration is a diffusion controlled mass transportation process governed by the following vacancy conservation equations:

$$10 \qquad C_{v0}\frac{\partial c}{\partial t} + \nabla q - G = 0 \tag{1}$$

111 where C_{v0} is the initial thermodynamic equilibrium vacancy con-112 centration in absence of any loadings; *c* is the normalized vacancy 113 concentration defined as C_v/C_{v0} with C_v as vacancy concentration; 114 vacancy flux due to the combined effect of gradient of vacancy con-115 centration, electric wind force, mechanical stress gradient and tem-116 perature gradient, respectively, is given by the following equation: 117

$$q = -D_{\nu}C_{\nu 0}\left(\frac{Z^{*}e}{kT}(\nabla\Phi)c + \nabla C + \frac{cf\Omega}{kT}\nabla\sigma^{\rm sp} + \frac{c}{kT^{2}}Q^{*}\right)$$
(2)

120 where D_v is effective vacancy diffusivity; Z^* is effective charge number; e is electron charge; k is Boltzmann's constant; T is tempera-121 ture, Φ is electrical potential, f is vacancy relaxation ratio defined 122 as ratio of vacancy volume over volume of an atom, Ω is atomic vol-123 124 ume. σ^{sp} is spherical part of stress tensor. Q^* is heat of transport defined as heat transmitted by an atom jumping a lattice site less the 125 126 intrinsic enthalpy. The mass diffusion process is governed by Fick's 127 law of diffusion. In PDC loadings, electron wind forces terms is 128 effective only at load-on time, while vacancy concentration, stress 129 gradient and thermal gradient terms are active during the whole 130 loading history.

In a site of flux divergence, vacancy will accumulate, nucleate or vanish, and this vacancy dynamics is given by:

$$G = -C_{v0} \frac{c - \exp\left[\frac{(1-f)\Omega\sigma^{sp}}{kT}\right]}{\tau_s}$$
(3)

136 where τ_s is characteristic vacancy generation or annihilation time.

137 2.2. Force equilibrium equation

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Mass accumulation at anode side induces a compression stress;
while vacancy formation at cathode side generates tensile stress
locally. Thus, a stress gradient is created. In absence of body forces,
the force equilibrium equation during current loading is given by
the static equilibrium equation:

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$$\sigma_{ij,j}=0$$

146 where σ_{ijj} is derivative of stress with respect to degree of *j*.

2.3. Heat transfer equation

In flip-chip BGA connections, current crowding happens at Al/ Cu interconnecting solder joint corners. Joule heating produces a higher local temperature than the nominal value at center crosssection of solder joints. It induces a thermal gradient, which drives mass diffuse from high temperature to low temperature area [26]. The governing equation of joule heating production and heat transfer is given by: 154

$$\rho C_{\rm p} \frac{\partial T}{\partial t} - \nabla (k_{\rm h} \nabla T) - \rho Q = 0 \tag{5}$$

where is material density; C_p is specific heat; k_h is coefficient of heat transfer and $Q \propto I^2 R$ is joule heating generated within conductor. Since the current density distribution is independent of time varying electric field, thermal gradient driving mass diffusion is in constant direction during PDC loading history.

2.4. Electrical conduction equation

The electric field in a conducting material is governed by Maxwell's equation of conservation of charge given by:

$$\int_{s}^{\cdot} Jn \, \mathrm{d}S = 0 \tag{6}$$

where *S* is surface of a control volume, *n* is outward normal to \S , *J* is current density in A/cm². Applying the divergence theorem to convert the surface integral into volume integral, we obtain following electrical conduction equation:

$$\int_{V}^{\cdot} \frac{\partial}{\partial x} J dV = 0 \tag{7}$$

2.5. Entropy based damage evolution model

Statistical thermodynamics provides a general framework for 177 macroscopic description of irreversible microscopic damage pro-178 cesses. Entropy is a macroscopic measure of number of ways atoms 179 or molecules can be arranged in a given volume. It can be decou-180 pled into reversible and irreversible production inside a closed sys-181 tem. The irreversible entropy production in the closed system is a 182 measure of microscopic disorder. Moreover, energy always flows 183 spontaneously from regions of higher temperature to those of low-184 er temperature, thus to reduce the initial state of order and to a 185 higher level of disorder. It has been shown by Basaran [27,28] that 186 the change in disorder parameter in Boltzmann's equation which 187 relates entropy production to system disorder can be used as a 188 metric for material degradation. Boltzmann's equation gives the 189 probability relationship between entropy production and micro-190 scopic disorder as follows: 191 192

$$s = k \ln W \tag{8}$$

where k is Boltzmann's constant, W is a disorder parameter which gives the number of micro states corresponding to given macrostate. The relationship between entropy per unite mass and the disorder parameter is given by the following equation:

$$s = \frac{R}{m_s} \ln W = N_0 k \ln W \tag{9}$$

where *R* is universal gas constant, m_s is specific mass, and N_0 is Avogadro's constant. By a simple transformation, the disorder function can be written as:

$$W = e^{\frac{s}{N_0 k}} \tag{10}$$

Degradation metric is further defined as a ratio of change in disorder parameter with respect to an initial disorder state as follows:

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W. Yao, C. Basaran/Computational Materials Science xxx (2013) xxx-xxx

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$$D = D_{cr} \frac{W - W_0}{W_0} = D_{cr} \frac{\Delta W}{W_0} = D_{cr} \left(1 - e^{\frac{s_0 - s}{N_0 k}}\right)$$
(11)

213 where D_{cr} is the critical damage used to define failure. The damage parameter D varying from 0 to 1 is a scalar value utilized to map 214 215 degradation of the material. It can easily be calculated separately for each direction. Zero indicates no damage happened, while 1 cor-216 responds to broken of material. It is used to map degradation of 217 218 both isotropic and anisotropic material property like elastic modu-219 lus, isotropic or kinematic hardening parameters. Detailed deriva-220 tion and experimental validation of this entropy based damage 221 model has been reported by Basaran et al. [29–39]. D is applied to the elasticity constitutive relationship as [40]: 222

$$225 \qquad d\sigma = (1-D)C^e d\varepsilon^e \tag{12}$$

226 Entropy production during EM process is generated by several 227 factors as shown below:

$$\Delta s = \int_{t_0}^t \left\{ \frac{1}{t^2} c \nabla T : \nabla T + \frac{C_v D_v}{kT^2} F : F + \frac{1}{T\rho} \sigma : \varepsilon'^{vp} \right\} dt$$
(13)

231 **where** $\frac{1}{l^2}c\nabla T: \nabla T$ is joule heating generated entropy; $\frac{C_pD_p}{kT^2}F:F$ and 232 $\frac{1}{T}\sigma: e^{tvp}$ correspond to mass diffusion and viscoplastic deformation 233 produced entropy respectively.

By substituting Eq. (13) into Eq. (11), damage parameter evolution can be given by:

$$D = D_{cr} \left[1 - e^{\frac{-\int_{t_0}^{t} \frac{(1}{t^2} < \nabla T : \nabla T + \frac{C_P D_P : r + \frac{1}{T\rho} \sigma : t'' P) dt}{kN_0}} \right]$$
(14)

where *F*, is diffusion driving force given by $F_{\rm k} = -(Z^*e\nabla\varphi + f\Omega\nabla\sigma^{\rm sp} + \frac{Q^*}{T}\nabla T + \frac{kT}{c}\nabla c)$. SAC solders have a 239 240 252 °C melting temperature. As a result, it undergoes viscoplastici-241 242 ty, Basaran et al. [41] proposed the following creep rate $\dot{\varepsilon}_{ij}^{vp} = \frac{Ad_0Eb}{kT} \left(\frac{\langle F \rangle}{E}\right)^n \left(\frac{b}{d}\right)^p e^{-QIRT} \frac{\partial F}{\partial \sigma_{ij}}$. Where A is a dimensionless material 243 parameter to describe the strain rate sensitivity, D_0 is a diffusion 244 frequency factor, E is Young's modulus, b is characteristic length 245 246 of crystal dislocation, k is Boltzmann's constant, T is absolute tem-247 perature, d is average phase size, p is phase size exponent, Q is creep activation energy for viscoplastic flow, R is the universal gas 248 constant, *F* is viscoplastic yield function define as: 249 250

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$$F_{n+1}(\xi, \alpha) = ||\xi_{n+1}|| = (1-D)\sqrt{\frac{2}{3}}\overline{\sigma}(\alpha_n)$$
 (15)

253 where ξ is effective yield stress given by equation which takes into 254 account of linear and nonlinear kinematic hardening component, 255 and $\overline{\sigma}(\alpha_n)$ represents isotropic hardening component. *D* reflects iso-256 tropic hardening degradation.

$$259 \qquad \xi_{n+1}^{trial} = S_{n+1}^{trial} = X_n \tag{16}$$

$$\frac{\partial X}{\partial t} = \frac{2}{3}(1-D)\gamma H'(\alpha)\frac{\xi}{||\xi||}$$
(17)

265 where $H'(\alpha)$ is kinematic hardening modulus; α is equivalent plastic 266 Strain given by $\int \sqrt{\frac{2}{3}} \dot{e}_{ij}^{\mu} e_{ij}^{\mu} dt$; γ is the consistency parameter which 267 has the following relationship with α as $\dot{\sigma} = \sqrt{\frac{2}{3}}\gamma$. D maps degrada-268 tion of kinematic hardening. The isotropic hardening model has the fol-269 lowing form of:

$$\overline{\sigma}(\sigma) = \frac{2}{3}\sigma_{y0} + R_{\infty}[1 - e^{-c\alpha}]$$
(18)

where σ_{y0} is the initial yield stress at uniaxial tension, and R_{∞} is the isotropic hardening saturation value.

3. Finite element modeling

An ABAQUS UMAT subroutine was developed to conduct EM analysis of lead free SAC405 solder joints under pulse current loading. The program is verified to produce converged stable numerical results in our previous published works [30,33,35,42–45]. Duty factor, frequency and maximum current density are used as control parameters in the simulation. The finite element analysis (FEA) mesh is shown in Fig. 1.

Solder joint has a nominal diameter of 116 μ m and stand-off height of 100 μ m. The Al interconnect located above the solder joint has thickness of 2 μ m and Cu trace below the solder joint has thickness of 10 μ m. Current comes from the top-left Al thin film, flows through the solder joint, and goes out from the bottom-right Cu trace. Current distribution is not uniform throughout the whole cross section of the solder joint. Current density at aluminum/copper traces interconnect solder joint corner is much larger than the average current density as shown in Fig. 1, which makes current crowding corners most vulnerable to EM and joule heating induced damages [46,47]. Eight-node user defined thermal-electrical elements with unit thickness are used to mesh Al/ Cu traces and the solder joint.

Fig. 2 shows PDC current loading applied between left-top and right-bottom of the FEA model. Duty factor r is defined as 2t/T. PDC current frequency varies from 0.01 to 20 Hz, duty factors from 0.38 to 1.0 and maximum current densities from $8.1 \times 10^5 \text{ A/cm}^2$ and 4.8×10^6 A/cm². EM in solder joints subjected to DC (duty factor of 1.0) loadings is studied for comparison purpose. Average operating ambient temperature for most IC devices is about 330.2K. The vacancy relaxation time for SAC405 is 1.8×10^{-3} s, effective charge number is 10, grain boundary diffusivity is $2.72 \times 10^6 \mu m^2/s$, thermal conductivity is 57.3 W/(m k), initial vacancy concentration is $1.1 \times 10^{6} \,\mu m^{-3}$, and average vacancy relaxation ratio is 0.2. Since the working temperature of solder joint is about two third of its melting point in absolute temperature scale, $\frac{330K}{273} + 252$ K = 0.63, viscoplastic material behavior is considered in this research. The linear kinematic hardening constant of SAC405 solder alloy is 9.63×10^9 kg s/m, nonlinear kinematic hardening constant is 7.25×10^8 kg s/(K m) and isotropic hardening constant is 383.3 [41,48-51].

4. Numerical results and discussion

Current density distribution during load-on time along the diagonal section A-B of solder ball is shown in Fig. 3.

It can be seen that the current density distribution is not uniform along cross-section A-B. Current crowding behavior is ob-

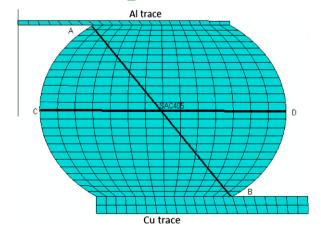


Fig. 1. Finite element mesh and electric potential gradient distribution of simulated model.

W. Yao, C. Basaran/Computational Materials Science xxx (2013) xxx-xxx

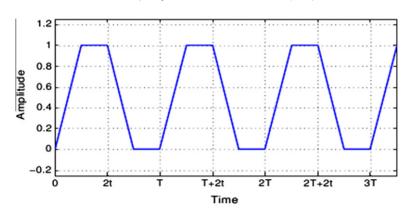


Fig. 2. Pulse current load applied in simulations.

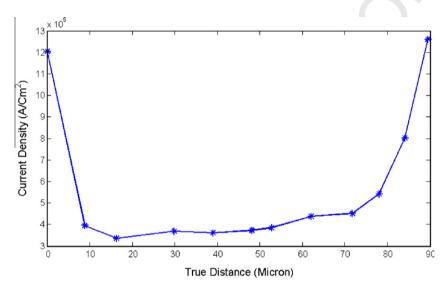


Fig. 3. Current density distribution along diagonal cross-section A-B during PDC loading history.

served at both upper-left corner A and lower-right corner B with 319 $j_A = 1.2 \times 10^6 \text{ A/cm}^2$ and $j_B = 1.99 \times 10^6 \text{ A/cm}^2$, which are more 320 than 3 times of the average value at center cross-section of the sol-321 322 der ball. Current crowding effect induces a higher than usual temperature locally. In this study, highest temperature of 381 K 323 324 happens at corner B and lowest temperature of 370 K near bottom 325 of solder bump, which creates a thermal gradient of 1571 K/cm across the solder joint. This thermal gradient is large enough to 326 327 drive mass transport from high temperature region into low tem-328 perature regions [26,52,53]. Depending on direction of thermal 329 gradient, TM may hinder or accelerate the EM process. Both EM and TM driven mass diffusions are considered in this work. 330

4.1. Vacancy concentration evolution in solder joint under PDC currentloading

333 Fig. 4a shows normalized vacancy concentration evolution at anode and cathode sides of the solder joint. It can be seen that 334 335 voids form at cathode side while mass accumulates at the anode 336 side. Normalized vacancy concentration fluctuates up and down 337 forming a band during current loading history. It grows to 1.068 338 at cathode side and goes down to 0.957 at the anode side after 339 6.7 h of PDC current loading. An exponential relationship exists be-340 tween vacancy concentration and current loading time. Fig. 4b 341 shows vacancy concentration distribution along diagonal cross-342 section A–B and center cross-section C–D of the solder bump after

6.7 h PDC loading with a frequency of 0.1 Hz and a duty factor of 343 50%. We can see that mass piles up at corner A, which induces local 344 compression stress. Vacancy concentration then increases along 345 diagonal A-B, and reaches its largest value at corner B which pro-346 duces tensile stress locally. Stress gradient and vacancy concentra-347 tion gradient are thus created in the solder joint. The vacancy 348 concentration gradient is often referred to as chemical potential. 349 According to Fick's law, concentration gradient transport mass 350 from high concentration area to the low concentration area, which 351 retard the electron wind force driving mass diffusion. The stress 352 gradient has similar effect. It can also be seen from Fig. 4b that va-353 cancy concentration along center line is not uniform as \widehat{w} ell, with 354 vacancy accumulating at center and mass piling up at outer surface 355 of the solder joint. This phenomenon is due to the thermal gradient 356 driving mass flux between center of solder joint and the outer skin, 357 which is consistent with experimental observations [54]. 358

Normalized vacancy concentration evolution at anode side of 359 solder joints for various duty factors at a constant PDC frequency 360 of 0.05 Hz is shown in Fig. 5. It can be seen higher duty factor in-361 duces a larger vacancy depletion/mass accumulation rate. Vacancy 362 concentration goes up and down continuously forming a fluctua-363 tion band that gradually decreases during the current loading his-364 tory for PDC cases. For DC loading, however, the vacancy 365 concentration is observed to decrease continuously without such 366 band formation, and rate of depletion is much faster than those 367 subjected to PDC loading with the same maximum current density 368

W. Yao, C. Basaran/Computational Materials Science xxx (2013) xxx-xxx

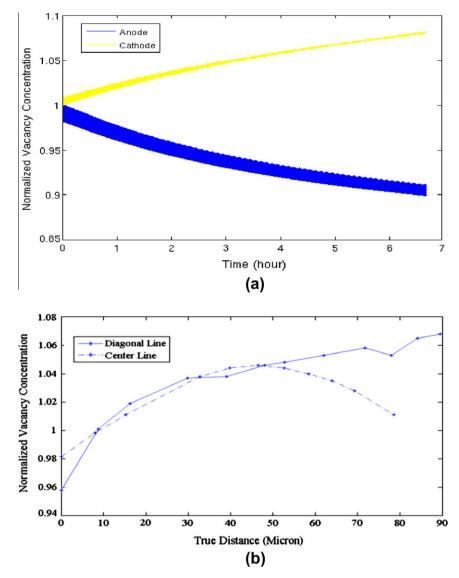


Fig. 4. Vacancy concentration evolution history under pulse current loading of 0.1 Hz and duty factor of 0.5: (a) vacancy concentration evolution at corner A and B and (b) vacancy concentration along diagonal cross-section A–B and center cross-section C–D after 6.7 h pulse current loading.

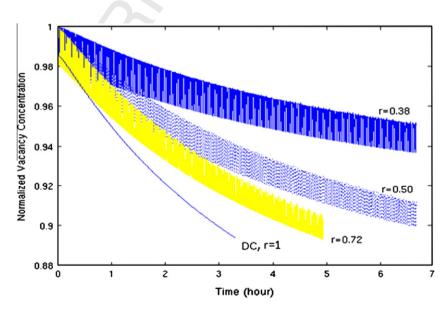


Fig. 5. Duty factor r dependence of vacancy concentration during current loading history at corner A (anode) of solder bump, with frequency of 0.05 Hz.

COMMAT 4984 30 January 2013

W. Yao, C. Basaran/Computational Materials Science xxx (2013) xxx-xxx

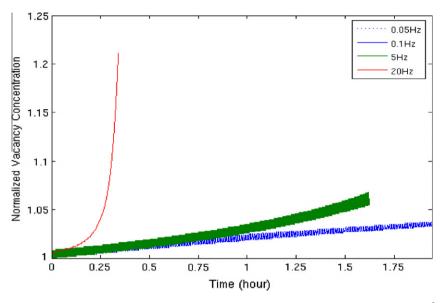


Fig. 6. Frequency dependence of vacancy concentration evolution at corner B (cathode), with maximum current density $j_B = 2.0 \times 10^6 \text{ A/cm}^2$ and duty factor of 0.5.

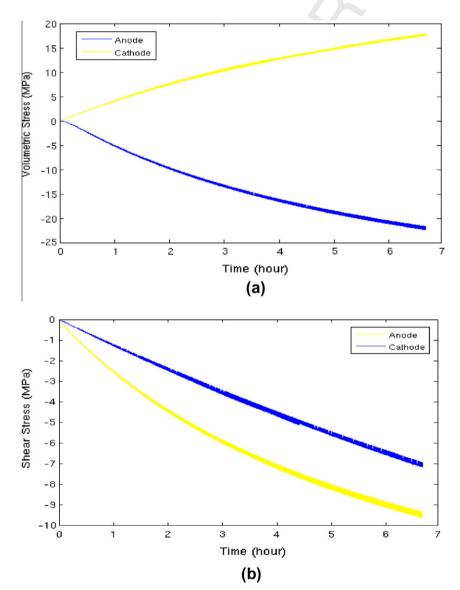


Fig. 7. Volumetric and shear stress evolution at anode and cathode of solder bump during pulse current loading history of 0.05 Hz, duty factor of 0.5: (a) volumetric stress and (b) shear stress.

and ambient temperature. Frequency dependence of normalized
 vacancy concentration evolution history at cathode side is shown
 in Fig. 6.

It can be observed that vacancy concentration grows exponentially during loading history. Higher frequency introduces a larger vacancy accumulation rate. At 20 Hz, the vacancy concentration accumulates so fast that fails the solder joint in just 1000 s. High frequency comes with a shortened thermal dissipation time, which introduces more thermal damage to material under tests.

From the above observations, it can be concluded that both TM 378 and EM happen during the pulse current loading history. The mass 379 diffusion mechanism in solder joint is complicate in the sense that 380 four types of driving forces couple with each other: electric wind 381 force, thermal gradient, chemical potential and stress gradient. 382 During the PDC load-on time, the electric wind force drives mass 383 384 from cathode side to the anode side: depending on thermal gradient direction, thermal gradient may accelerate or impede the EM 385 process; while stress gradient and chemical potential always 386 drives the mass in the opposite direction of electron wind force. 387 During the load-off time, however, only thermal gradient, stress 388 389 gradient and vacancy concentration come to play, which transport mass backward to cathode side and heal part of damage triggered during loading-on time [18–20]. This material healing effect exhibits as a fluctuation response in the damage evolution history, and in turn makes lifetime of solder joint under PDC load much longer than those under DC loading. Effectiveness of this damage healing mechanism depends on pulse current frequency, duty factor and ambient temperature. Increasing frequency and duty factor leads to more serious damage in the solder joints.

4.2. Stresses in flip-chip solder bumps during pulse current stressing 39

Volumetric and shear stress evolution history at current crowding corners of the solder ball subjected to PDC with duty factor of 0.5 and frequency of 0.05 Hz is shown in Fig. 7.

It can be seen that compression stress develops at anode side due to the mass pile-up, while tension stress develops at cathode side due to void formation shown above. Exponential relationship exists between stresses development and loading time. After 6.7 h of current loading, both compression and tension stress reaches about 20 MPa. From Fig. 7b, we can see that shear stress develops at both anode and cathode side of the solder joint. After 6.7 h of

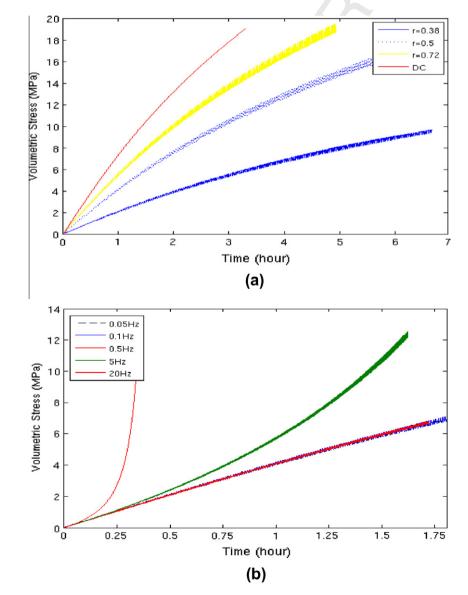


Fig. 8. Volumetric stress evolution at cathode side of the solder joint subjected to 0.05 Hz PDC with maximum current density $j_B = 2.0 \times 10^6 \text{ A/cm}^2$: (a) duty factor dependence and (b) frequency dependence.

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W. Yao, C. Basaran/Computational Materials Science xxx (2013) xxx-xxx

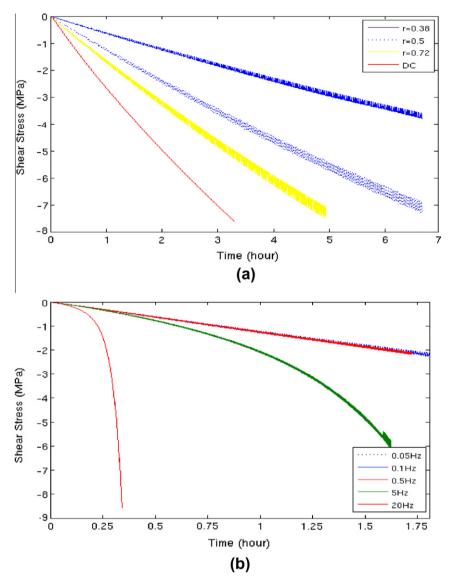


Fig. 9. Shear stress evolution at cathode side of the solder joint subjected to to 0.05 Hz PDC with maximum current density $j_B = 2.0 \times 10^6$ A/cm²: (a) duty factor dependence and (b) frequency dependence.

current loading, shear stress at anode is 9.8 MPa, which is one and
half times of that at cathode side, 6.5 MPa. Room temperature yield
stress is at 7 MPa. Duty factor and frequency dependence of volumetric stress evolution is shown in Fig. 8.

Volumetric stress growth rate strongly depends on duty factor. 413 414 Increasing duty factor is associated with a faster volumetric stress 415 accumulation rate. After 3.3 h, the volumetric stress for DC loading, which correspond to duty factor of 1.0, has reached 19 MPa; while 416 it takes 5 h for duty factor of 0.72 PDC loading at the same maxi-417 mum current density to reach this value. For the duty factor of 418 0.38, the volumetric stress is only 9 MPa after 6.7 h of current load-419 ing, which is a far less than larger duty factor loading induced 420 421 stress. Fig. 8b is the frequency dependence of volumetric stress 422 evolution. It can be seen that volumetric stress grow exponentially 423 with loading time, and higher frequency causes a larger stress 424 growth rate. However when the frequency is below 1 Hz, stress 425 is no longer frequency dependent. For frequency low enough, ther-426 mal fatigue governs the damage process instead of electric current loading. Only when the frequency goes above a threshold value 427 428 (1 Hz here), volumetric stress growth rate tends to increase dra-429 matically. Duty factor and frequency dependence of shear stress 430 evolution is shown in Fig. 9.

Same as volumetric stress development, higher duty factor and 431 frequency induce a larger shear stress growth rate. The duty factor 432 dependence of shear stress over DC loading cases, however, is 433 smaller than the volumetric stress dependence, and the relation-434 ship is given by $S_{\text{shear}_{ndc}} = r' S_{\text{shear}_{dc}}$ with r' ranging between r and 435 r^2 based on our statistical analysis. Nonlinear regression results 436 (with *R*-square of 0.9936) indicate that both volumetric stress 437 and shear stress grow exponentially vs. the loading time in hours, 438 and the exponential parameters for volumetric stress and shear 439 stress are 1.03 and 1.8 respectively, as shown in following equation:

$$S = \alpha \times e^{\beta t} \tag{19}$$

where S represents volumetric stress or shear stress, α is parameter445depending on PDC frequency and duty factor; β is stress exponent446which is between 1 and 2.447

4.3. EM damage in solder joints under PDC and DC current stresses 448

Due to the back-flow healing mechanism at loading-off time, 449 solder joints subjected to PDC loading has a longer lifespan com-

W. Yao, C. Basaran/Computational Materials Science xxx (2013) xxx-xxx

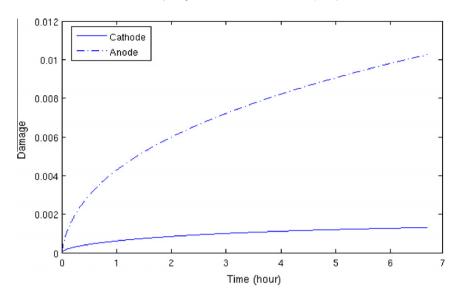


Fig. 10. Damage evolutions at cathode and anode sides of the solder joint subjected to 0.05 Hz PDC loading with duty factor of 0.5.

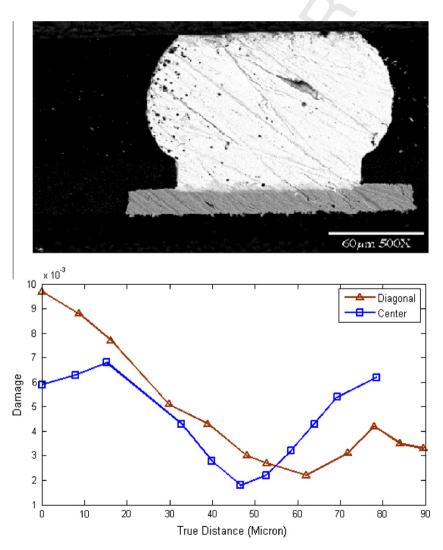


Fig. 11. Damage distribution along diagonal cross-section of the solder joint after 6.7 h 0.1 Hz PDC loading at duty factor of 0.5.

451 pared to those subjected to DC current loading under otherwise the 452 same conditions. Entropy based damage evolution is used to

453 approximate EM induced failure mechanism.

It can be seen from Fig. 10 that damage as designed by Eq. (13)454grows exponentially at both anode and cathode sides of the solder455joint when subjected to PDC current loading at frequency of456

W. Yao, C. Basaran/Computational Materials Science xxx (2013) xxx-xxx

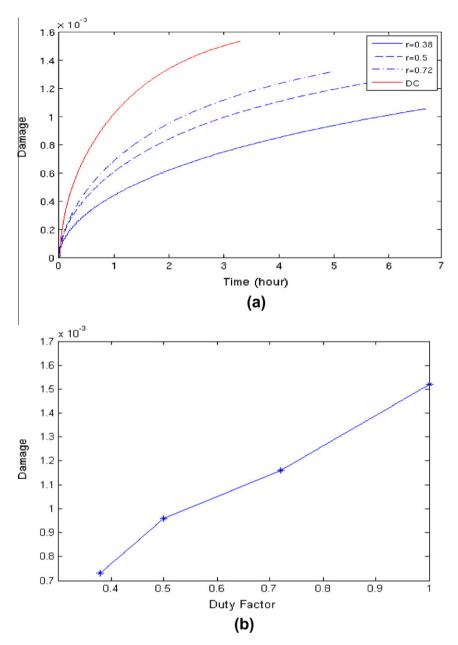


Fig. 12. Damage at cathode side of the solder joint for various duty factors with pulse frequency of 0.05 Hz: (a) damage evolution history and (b) damage vs. duty factor after 3.3 h of current loadings.

0.05 Hz and duty factor of 0.5. However, the irreversible entropy 457 458 production at anode side develops much faster than that at the 459 cathode side. This is due to the damage mechanism adopted in this study. Mass accumulation tends to produce more entropy than va-460 cancy concentration under the same conditions. After 6.7 h current 461 loading, the damage at cathode is 0.012, which is about 8 times 462 463 larger than that at anode side. The damage distribution along diagonal and center cross-sections of the solder joint after 6.7 h 464 465 of PDC loading is shown in Fig. 11. It can be seen that damage first decreases along diagonal A-B, then increases. For center cross-sec-466 467 tion C-D, maximum damage happens at outer skin of the solder 468 joint, where compression stress developed locally. The minimum 469 damage happens at center of the solder joint.

In modern electronic packaging technology, the following
procedures are often used to protect solder joints from short-circuit failures: 1. Minimum clearance between conductors is
specified in PC board prevents conducting between neighboring
solder joints. Although entropy production at anode side develops

much faster than that at the cathode side, only vacancy accumulation induced open-circuit failure is of concern in electronic devices [55–58]. Thus only damage at cathode side is shown in the following discussions.

Fig. 12 shows the duty factor dependence of damage evolution at cathode side of the solder joint subjected to 0.05 Hz PDC loading. Damage grows exponentially during current loading history. Larger duty factor induces a higher damage growth rate. After 3.3 h of loading, the damage in DC loading has accumulated to 2 times of PDC loading with a duty factor at 0.38, and nearly 1.5 times of damage with duty factor at 0.5. Damage vs. PDC duty factor is potted in Fig. 12b.

For frequency dependence, it is observed that damage accumulation is frequency independent when applied PDC signal is below 1 Hz as shown in Fig. 13.

It can be that damage grows faster at higher frequency. This can be explained by the fact that pulse current period used in this study is much larger than the thermal relaxation time (typically

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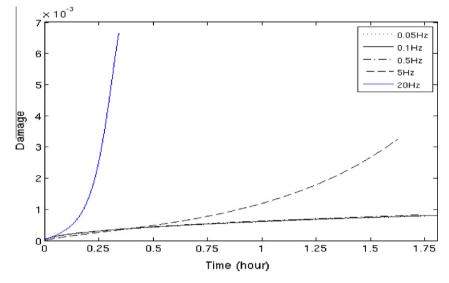


Fig. 13. Frequency dependence of damage evolution at cathode side of the solder joint subject to PDC loading with duty factor of 0.5.

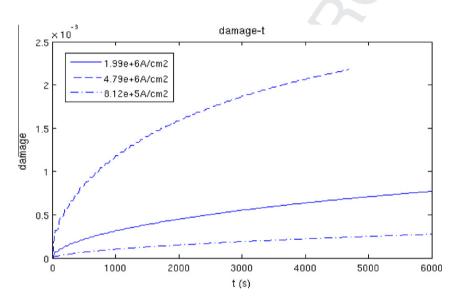


Fig. 14. Maximum current density dependence of damage evolution at cathode side of the solder joint subjected to 0.05 Hz PDC loading with duty factor of 0.5.

in order of 10⁻⁶ s for SAC solder alloy). In this frequency range, the
solder has more time to relax from the joule heating induced thermal damage than at lower frequencies, thus more damage gets
healed. The current density dependence of EM damage evolution
is shown in Fig. 14.

⁴⁹⁸ Damage accumulates exponentially with loading time. Larger ⁴⁹⁹ current density causes much more serious EM damage. After ⁵⁰⁰ 1.3 h of current loading, the damage of the solder joint subjected ⁵⁰¹ to a maximum current density of 4.8×10^6 A/cm² has reached ⁵⁰² 2.3×10^{-3} , while the one with maximum current density of ⁵⁰³ 81×10^5 A/cm² is only 2.1×10^{-4} .

Table 1 shows the nonlinear regression results of damage vs. duty factors, frequencies and current densities. With an *R*-square value (confidence value, which means representative of data points) as large as 99%, it has been found that the duty factor, frequency and maximum current density dependence of electromigration damage follows an exponential relationship when the loading period is larger than the thermal relaxation time:

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where *D* is the damage; *a*, *b*, *c* and *d* are all positive parameters that depend on duty factor, pulse frequency and maximum current density. For a loading period long enough (over 6 h), the second part of Eq. (16) will be only 1% of the first part, thus we can ignore the second parts influence, which will simplify above equation into the following representation:

$$D = a \times e^{b_r b_f b_j t} \tag{21}$$

where *a* is the general parameter that depends on duty factor and frequency, b_r is duty factor exponent parameter, b_f is frequency exponent parameter, b_j is current density parameter and *t* is current loading times in hours. For a frequency of 0.05 Hz, it has been found that both parameter *a* and b_r grow with increasing duty factor *r*, and the value of b_r is approximately $r^{1.1}/9$. For a duty factor of 0.5, it can be seen from Table 1 that with increasing frequency magnitude parameter *a* decreases, but parameter b_f increase quickly. Nonlinear regression gives us the following relationship between parameter b_f and pulse frequency *f* (with *R*-square of 0.999):

$$D = a \times e^{bt} - c \times e^{-dt}$$
(20) $b_f = 0.161 \times f^{1.431}$ (22)

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W. Yao, C. Basaran/Computational Materials Science xxx (2013) xxx-xxx

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Nonlinear regression results of duty factor, frequency dependences and maximum current density dependence of damage at cathode side of the solder joint.

Dependence	Duty factor	Frequency (Hz)	Current density (A/cm ²)	Damage vs. time (h)	Adjust R-square
Duty factor 0.38 0.50 0.72 1.00	0.38	0.05	2.0×10^6	$D = 0.0006 \times e^{0.04t} - 0.0005 \times e^{-0.7t}$	0.999
	0.50	0.05	$2.0 imes 10^6$	$D = 0.0009 imes e^{0.06t} - 0.00075 imes e^{-0.75t}$	0.998
	0.72	0.05	$2.0 imes 10^6$	$D = 0.00092 \times e^{0.08t} - 0.0008 \times e^{-0.95t}$	0.998
	0.05	2.0×10^6	$D = 0.0011 \times e^{0.11t} - 0.00096 \times e^{-1.5t}$	0.996	
Frequency 0.50 0.50 0.50 0.50	0.50	0.05	2.0×10^{6}	$D = 0.0009 \times e^{0.06t} - 0.00075 \times e^{-0.75t}$	0.998
	0.50	0.50	$2.0 imes 10^6$	$D = 0.0005 \times e^{0.34t} - 0.00038 \times e^{-2.5t}$	0.998
	0.50	5.00	$2.0 imes 10^6$	$D = 0.0003 \times e^{1.5t} - 0.0002 \times e^{-2.3t}$	0.999
	0.50	20.00	2.0×10^6	$D = 0.00013 \times e^{11.7t}$	0.996
Current density	0.50	0.05	8.1×10^5	$D = 0.0003 \times e^{0.014t} - 0.00024 \times e^{-0.1t}$	0.920
	0.50	0.05	$2.0 imes 10^6$	${ m D}=0.0009 imes { m e}^{0.06t}-0.00075 imes { m e}^{-0.75t}$	0.998
	0.50	0.05	4.8×10^{6}	$D = 0.0014 \times e^{0.34t} - 0.0012 \times e^{-3.7t}$	0.997

In the same manner, we obtain the following relationship between b_j and current density j (A/mm²) with confidence value of 0.9998:

$$b_i = 2.280 \times 10^{-12} \times i^{1.9} \tag{23}$$

After we put equation b_j , b_f and b_r back into Eq. (17), we finally obtain the relationship between damage, duty factor and frequency as follows:

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$$D = a \times e^{b \cdot r^{1.1} \cdot f^{1.43} \cdot j^{1.9} \cdot t}$$
 (24)

We further define mean time to failure (MTTF) as entropy based
damage accumulated to a critical value, which is taken as 0.01 in
this work for sake of simplicity. Basaran et al. [28] proposed a
MTTF equation for solder joint subjected to DC based on intensive
laboratory testing of test vehicles with SAC405 solder joints. By
introducing duty factor and frequency influence on this equation,
the following MTTF equation is obtained:

$$MTTF = \frac{\alpha}{r^{1.1} \cdot f^{1.43} \cdot j^{1.9}}$$
(25)

558 where α is a general parameter. We can see that MTF for PDC case is 559 inversely proportional with duty factor $r^{1.1}$, pulse frequency $f^{1.43}$ 560 and maximum current density $j^{1.9}$. Since the above relationship is 561 obtained at constant ambient temperature, we can incorporate tem-562 perature effect by Arrhenius temperature dependence into above 563 equation, which gives us as the modified MTTF equation for pulse 564 current loading cases as follows:

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$$MTTF = \frac{\alpha}{r^{1.1} \cdot f^{1.43} \cdot j^{1.9}} e^{(\Delta E/kT)}$$
(26)

568 where α is general parameter that depends on material, geometry, 569 duty factor, frequency and the assumed critical damage value, ΔE 570 is diffusion activation energy, k is Boltzmann's constant and T is 571 temperature. To verify the computer simulation results, experi-572 ments are conducted on solders subjected to pulsed current loading 573 with duty factor, frequency and current density as controlling 574 parameters. The following failure mechanism is obtained: 575

$$MTTF = \frac{\alpha}{r^{1.2} \cdot f^{1.8} \cdot j^{1.7}} e^{\nabla E/kT}$$
(27)

We have less than 10% discrepancy for the current density and duty cycle exponent, 20% discrepancy for the frequency component, which is acceptable considering manufacture induced initial defects in our test vehicle. It can be seen that our program gives a very good approximation to failure mechanism of solder joints under PDC current loadings.

5. Conclusions

A fully coupled <u>thermal-electrical-mechanical-chemical</u> damage model is proposed for SAC405 solder joints subjected to pulse current loading. For comparison purpose, DC electromigration study is also conducted. By inspection of the simulation results, the following findings are reported.

During pulse current loading history, vacancy concentration 590 and stress fluctuate up and down in a gradually growing (cathode) 591 or depleting (anode) band. By using irreversible entropy produc-592 tion rate as a metric to guantify material degradation under PDC 593 loading, it is observed that higher frequency induces a faster dam-594 age growth rate. This is due to the fact that material has more time 595 to relax from joule heating induced damage at low frequency than 596 at higher frequency. It is further observed that larger duty factor 597 and current density leads to an increased EM damage. An exponen-598 tial relationship exists between EM/TM damage, duty factor, cur-599 rent density and PDC frequency. After incorporating the entropy 600 based damage model into Basaran's MTTF equation, we propose a 601 modified MTTF equation for lead-free solder joints under pulse 602 current loading, which incorporates both thermomigration and 603 electromigration damages. The finite element simulation results 604 are verified by our experiments. 605

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W. Yao, C. Basaran/Computational Materials Science xxx (2013) xxx-xxx

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